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TARGET MARKET DETERMINATION - TMD

DDO machine readable fund data template

DATA	DEFINITION	CODIFICATION	COMMENT	Mandatory / Optional / Conditional / Indicative - FSC Licensee	Mandatory / Optional / Conditional / Indicative - Non FSC Licensee
TMD Data Set Information					
Product Identifiers					
_Primary_Product_Identifier_Type	Which of the following types of IDs has been determined to be the primary ID of the product. The primary ID is used in the rest of the standard to identify the product uniquely. The issuer code must not be used unless no other codes are available.	Single choice of {APIR/Exchange code/ISIN/USI/Issuer code}		M	M
_Product_APIR_Code	The product's APIR code. Must include if available			M if using as Primary Product identifier type, otherwise optional	M if using as Primary Product identifier type, otherwise optional
_Listing_Exchange	Code of exchange the product is listed on, using ISO Market Identifier Code (MIC). Must include if product is listed. If a product is listed in Australia and another country, prefer the Australian listing.	ISO MIC – 4 character alpha		M if "product exchange code" is used as Primary Product identifier type, otherwise optional	M if "product exchange code" is used as Primary Product identifier type, otherwise optional
_Product_Exchange_Code	The product's exchange code (for listed products). Must include if "listing exchange" is completed.			M if using as Primary Product identifier type, otherwise optional	M if using as Primary Product identifier type, otherwise optional
_Product_ISIN_Code	The product's ISIN Code. Must include if available	Integer (no spaces)		M if using as Primary Product identifier type, otherwise optional	M if using as Primary Product identifier type, otherwise optional
_Issuer_Product_Code	Issuer determined product code – should be the type of last resort. Don't reuse industry wide codes in this field. For products without industry wide codes, can use this field, plus code for issuer, to set an industry-wide code.	Free text		M only if previous fields blank	M only if previous fields blank
_Product_Name	Financial instrument (Product) name. Note name is subject to change over time – not for machine analysis	Plain text only		M	M
_Product_ARSN	Product ARSN. Must include if available. Do not use this field as primary product identifier	Nine-digit numeric (no spaces)		M if available	M if available
Entity Type					
_Entity_Name	The name of the entity/Issuer included in this data item. May or may not be a product distributor. Not for machine analysis	Plain text only		M	M
_Entity_AFSL	Entity/Issuer AFSL	Integer (no spaces)		M if using as entity identifier type, otherwise optional	O
_Entity_ABN	Entity ABN. Must be used if reporting holds an ABN	Integer (no spaces)		M if available	M
_Product_Issuer_APIR_Code	APIR code for Issuer not product			O	O
Report Contact Details					
_Contact_Name	Contact details for this record - name	Plain text only		M	M
_Contact_Title	Contact position title (eg Senior Product Manager; Compliance Manager).	Plain text only		O	O
_Contact_Phone	Phone for contact person	Full international number format		M	M
_Contact_Email	Email for contact person	XXX@XXX		M	M
_Contact_Address	Address for contact person	Plain text only		O	O
_Contact_Company	The name of the company that this contact represents	Plain text only		M	M
TMD Report Header Information					
_Version_Of_Data_Standard	Version number of data standard. Can be non-integer. Major versions should increment by 1. Minor changes (backwards compatible) can increment by less than 1. (ee 2.1, 2.2, 2.3)	1.3		M	O
_Date_Sent	Date that this report was sent	DD-MM-YYYY		M	O
Product TMD					
_TMD_Version	Version number of the TMD (integers only).	1		M	M
_TMD_Status	The status of the TMD which indicates whether it should be distributed. A status of cease distribution will be a signal to distributor to stop retail distribution (unless the distribution is excluded dealing).	A / C	A = Available / Current C = Cease Distribution	M	M
_TMD_Date	Date that this TMD version started	DD-MM-YYYY		M	M
_TMD_PDF_Link	URL link to location of PDF version of the TMD	www.link/TMD.com.au		O	O
Data Items Specific to TMD for each product					
_Target_Market	Summary of target market as per TMD template	Free text		O	O
Consumer's investment objective					
_Investment_Objective_Description	The description of the investment objective of the product, including growth/ defensive split.	Free text		M	O
_Capital_Growth	Do the product investment objectives align with those of an investor seeking Capital Growth	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Capital_Preservation	Do the product investment objectives align with those of an investor seeking Capital Preservation	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Capital_Guaranteed	Do the product investment objectives align with those of an investor seeking Capital Guaranteed	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Income_Distribution	Do the product investment objectives align with those of an investor seeking Income Distribution	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
Consumer's intended product use					
_Product_Use_Description	The description of the intended product use of the product, including asset allocation and portfolio diversification information	Free text		M	O
_Standalone_More_Than_75_percent	Is the product intended to be used as a Solution / Standalone product	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Core_Component_25_To_75_percent	Is the product intended to be used as a core component of a portfolio	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Satellite_Less_Than_25_percent	Is product intended to be used as a Satellite component of a portfolio	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
Consumer's investment timeframe					
_Investment_Timeframe_Description	The description of the minimum suggested timeframe for holding the product	Free text		M	O
_Investment_Timeframe_Short_Less_Than_2_years	Is it appropriate for investors to hold the product for 0-2 years before selling	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Investment_Timeframe_Medium_More_Than_2_years	Is it appropriate for investors to hold the product for 2-8 years before selling	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Investment_Timeframe_Long_More_Than_8_years	Is it appropriate for investors to hold the product for more than 8 years before selling	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
Consumer's Risk (ability to bear loss) and Return profile					
_Risk_Return_Description	The description of the product risk and return metrics, include risk band and the return hurdle rate	Free text		M	O
_Return_Objective_Very_High_Risk_And_Return	Does the product have very high risk and return attributes	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Return_Objective_High_Risk_And_Return	Does the product have high risk and return attributes	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Return_Objective_Medium_Risk_And_Return	Does the product have medium risk and return attributes	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Return_Objective_Low_Risk_And_Return	Does the product have low risk and return attributes	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
Customer's need to withdraw money					
_Redemption_Frequency	A description of the intended redemption frequency, access constraints and other relevant liquidity considerations.	Free text		M	O
_Redemption_Frequency_Daily	Product suitable for investors that redeem interests daily	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Redemption_Frequency_Weekly	Product suitable for investors that redeem interests weekly	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Redemption_Frequency_Monthly	Product suitable for investors that redeem interests monthly	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Redemption_Frequency_Quarterly	Product suitable for investors that redeem interests quarterly	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
_Redemption_Frequency_Annually_Or_Longer	Product suitable for investors that redeem interests annually or longer	Y / Neutral / N	In target market (Y) / Potentially in target market (Neutral) / Not considered in target market (N)	M	O
Distribution Conditions					
_No_Distribution_Conditions	Confirm if there are no distribution conditions	Y / N	Select one of – Y - There are no distribution conditions / N - There are distribution conditions	M	O
_Personal_Advice_Distribution_Only	Only suitable for distribution to consumers who have received personal advice? Y = distribution only permitted to those who have received personal advice	Y / N		M	O
_Personal_Advice_Distribution_Rationale	Only suitable for distribution to consumers who have received personal advice – set out rationale	Free Text		C	C
_Specified_Distributors_Channels	Only suitable for distribution through specified distributors / specified channels	Y / N		M	O
_Specified_Distributors_Channels_Details	Only suitable for distribution through specified distributors / specified channels – Specify	Free Text	(Insert distributor name (including unique ID) or channel name – insert a semicolon between each named distributor or distribution channel)	M	C
_Specified_Distributors_Channels_Rationale	Only suitable for distribution through specified distributors / specified channels – set out rationale	Free Text		C	C
_Other_Distribution_Conditions	Are there any other distribution conditions (ie sale via use of call script only)	Y / N		M	O
_Other_Distribution_Conditions_Details	Specify and describe any other distribution conditions	Free Text		C	C
Review					
_Review_Trigger_Material_Change_FSC	Align with FSC if applicable	Free Text	Material change to key attributes, fund investment objective and/or fees.	O	O
_Review_Trigger_Material_Deviation_FSC	Align with FSC if applicable	Free Text	Material deviation from benchmark / objective over sustained period.	O	O
_Review_Trigger_Not_Performed_As_Disclosed_FSC	Align with FSC if applicable	Free Text	Key attributes have not performed as disclosed by a material degree and for a material period.	O	O
_Review_Trigger_Significant_Dealing_FSC	Align with FSC if applicable	Free Text	Determination by the issuer of an ASIC reportable Significant Dealing	O	O
_Review_Trigger_High_Number_Complaints_FSC	Align with FSC if applicable	Free Text	Material or unexpectedly high number of complaints (as defined in section 994A(1) of the Act) about the product or distribution of the product.	O	O
_Review_Trigger_Product_Intervention_Powers_FSC	Align with FSC if applicable	Free Text	The use of Product Intervention Powers, regulator orders or directions that affects the product.	O	O
_Review_Triggers	Include either all review triggers here or any additional review triggers not broken out above, seperated by semicolon	Free Text		O	O
_Initial_Review	X months. For example '15 months'. Therefore 15 months after "TMD Date"			O	O
_Maximum_Subsequent_Review_Period	X months. For example '39 months'. e.g. 3 years plus 3 months			O	O

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Reporting					
_Reporting_Instructions	Instructions to distributors on how to report to issuers. Exact copy from TMD	Free text		M	O
_Acquisition_Outside_TM	Does issuer require reporting on transactions outside TMD that the Distributor is aware of?	Y / N		M	O
_FSC_Data_Standard_Transactions_Reporting	If previous field is answered Y, should report follow FSC DDO data standard for transactions?	Y / N		C	C
_Alternative_Data_Standard_For_Transactions	If N, Link to alternative data standard if used	URL		C	C
_Frequency_Of_Report	Required frequency of report by distributors on dealings outside target market.	Select one of: [monthly/quarterly/half yearly/yearly]		C	C
_FSC_Data_Standard_For_Complaints	Is complaints reporting required to use FSC complaints standard	Y / N		M	O
_Alternative_Data_Standard_For_Complaints	If N, link to alternative data standard	URL		O	C
_Complaints_Reporting_Frequency	Required frequency of report on complaints. Default is at time of complaint	Select one of: [monthly/quarterly/half yearly/yearly]		M	O
Significant dealings distributor guidance					
_FSC_Significant_Dealing_Guidance	Is issuer using the FSC template for guidance to distributors on significant dealing?	Y / N		M	O
_Non_FSC_Significant_Dealing_Distributor_Guidance	If previous field is "N", provide alternate guidance. Exact copy from TMD	Free text		C	M
APPROPRIATENESS					
_Appropriateness	Explanation of how Issuer considers TMD performs against appropriateness test as required by ASIC 274.64–66. Include here exact copy from TMD	Free text		O	O
Additional Custom Fields					
_Custom_Data_1	Additional data used to define the target market not included in the form above			O	O
_Custom_Data_2	Additional data used to define the target market not included in the form above			O	O
_Custom_Data_3	Additional data used to define the target market not included in the form above			O	O

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Design and Designation Obligation Data Template
This template was created based off of the data standards developed by members of the FSC and therefore mimic the values in the
FSC template which may not be relevant to non licensees. A list of required data for licensees vs non-licensees can be found on the
definitions tab

The full FSC data standard can be found at: https://github.com/FinancialServicesCouncilAU/fsc-ddo-
datastandards/tree/main/Implementations/CSV
Information on the FSC templates can be found at: https://www.fsc.org.au/resources/target-market-determination-templates

Delivery Instructions
Providers should input their data into
the submission tab

Data Vendor Delivery Methods	Email	Portal
Morningstar	fundopaquisition@morningstar.com	
FE Fundinfo	DP.DDO@fefundinfo.com	https://datapartner.fefundinfo.com/

Acceptable File Types
xlsx,xls
.csv

_Primary_Product_Identifier_Type	_Product_APIR_Code	_Listing_Exchange	_Product_Exchange_Code	_Product_ISIN_Code	_Issuer_Product_Code	_Product_Name	_Product_ARSN	_Entity_Name	_Entity_AFSL	_Entity_ABN	_Product_Issuer_AP
APIR	ABC0001AU	XASX	SDC	US0000001234		ABC Global Fund	123456789	ABC Investment Manag	123456	12345678912	

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_Contact_Name	_Contact_Title	_Contact_Phone	_Contact_Email	_Contact_Address	_Contact_Company	_Version_Of_Data_Standa	_Date_Sent	_TMD_Version	_TMD_Status	_TMD_Date	_TMD_PDF_Link	_Target_Market
John Matthew	Senior Product Mar	61295001234	john@abc.com	1 Martin Place Sydney 2000	FE Fundinfo Limited	1.3	12/09/2021	1 A		12/09/2021	www.link/TMD.com.au	This product is likely to be a

_Investment_Objective	_Capital_Growth	_Capital_Preservation	_Capital_Guaranteed	_Income_Distribut	_Product_Use_Descr	_Standalone_More_	_Core_Component_2	_Satellite_Less_Than_	_Investment_Timefr	_Investment_Timef	_Investment_Timeframe	_Investment_Timefram
The Fund aims to provide investors with the performance of	Y	Neutral	Neutral	N	The Fund's investment strategy aims to remain fully	Neutral	Neutral	Neutral	The minimum sugges	N	Neutral	Y

_Risk_Return_Description	_Return_Objective_Very	_Return_Objective_High_Risk	_Return_Objective_Medium_	_Return_Objective_Low_	_Redemption_Frequency	_Redemption_Frequency_Daily	_Redemption_Frequency_Weekly
The Fund has a risk band of 6 (High). The Fund aims to provide investors with the performance of the market, before fees, as	N	Y	N	N	Investors will normally be able to r	Y	Y

_Redemption_Frequency_Monthly	_Redemption_Frequency_Quarterly	_Redemption_Frequency_Annually_Or_Longer	_No_Distribution_Conditions	_Personal_Advice_Distribution_Only	_Personal_Advice_Distribution_Ra
Y	Y	Y	Y		

_Specified_Distributors_Channels	_Specified_Distributors_Channels_Details	_Specified_Distributors_Channels_Rationale	_Other_Distribution_Conditions	_Other_Distribution_Conditions_	_Review_Trigger_Material_Change_FSC
	ABC Wrap; ZNY Wrap				Material change to key attributes, fund

_Review_Trigger_Material_Deviation_FSC _Review_Trigger_Not_Performed_As_Disclc _Review_Trigger_Significant_Dealing_FSC _Review_Trigger_High_Number_Complaints_FSC _Review_Trigger_Product_Interventic _Review_Triggers _Initial_Review

Material deviation from benchmark / obje Key attributes have not performed as disclo Determination by the issuer of an ASIC repor Material or unexpectedly high number of complaints (as The use of Product Intervention Powe Material change to key attributes, fun 12 Months

_Maximum_Subsequent_Review_Period	_Reporting_Instructions	_Acquisition_Outside_TM	_FSC_Data_Standard_Transactions_Reporting	_Alternative_Data_Standard_For_Transacti	_Frequency_Of_Report
24 Months		Y	N	Https://XXX	Quarterly

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_FSC_Data_Standard_For_Complaints	_Alternative_Data_Standard_For_Complaints	_Complaints_Reporting_Frequency	_FSC_Significant_Dealing_Guidance	_Non_FSC_Significant_Dealing_Distributor_Guidance
Y		Quarterly		

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_Appropriateness   _Custom_Data_1   _Custom_Data_2   _Custom_Data_3